

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# DESIGN PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	61780.0002
First Named Inventor	DOYLE, Thomas James
Title	Risk Assessment System
Express Mail Label No.	

ADDRESS TO:  
Mail Stop Design  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**DESIGN V. UTILITY:** A "design patent" protects an article's ornamental appearance (e.g., the way an article looks) (35 U.S.C. 171), while a "utility patent" protects the way an article is used and works (35 U.S.C. 101). The ornamental appearance of an article includes its shape/configuration or surface ornamentation upon the article, or both. Both a design and a utility patent may be obtained on an article if invention resides both in its ornamental appearance and its utility. For more information, see MPEP 1502.01.

## APPLICATION ELEMENTS

See MPEP 1500 concerning design patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 68]  
(preferred arrangement set forth below, MPEP 1503.01)
  - Preamble
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Description of the figure(s) of the drawings
  - Feature description
  - Claim (only one (1) claim permitted, MPEP 1503.03)
4. ☒ Drawing(s) (37 CFR 1.152) [Total Sheets 5]
5. Oath or Declaration [Total Pages 2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - DELETION OF INVENTOR(S)**
    - i. ☐ Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☐ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
14. ☐ Request for Expedited Examination of a Design Application (37 CFR 1.155)  
(NOTE: Substitute "Mail Stop Expedited Design" for "Mail Stop Design" in the address indicated above.)
15. ☐ Other: \_\_\_\_\_

**16. If a CONTINUING APPLICATION,** check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Art Unit: \_\_\_\_\_

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☒ Customer Number: 24629 OR ☐ Correspondence address below

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type) <u>Daryl W. Schnur</u>	Registration No. (Attorney/Agent)	28,569
Signature <u>[Signature]</u>	Date	October 3, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Design, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

17548 U.S. PTO

10/678117



10/06/03

# MILLER THOMSON LLP

Barristers & Solicitors, Patent & Trade Mark Agents

700, 22 Frederick Street  
P.O. Box 578  
Kitchener, ON, Canada N2G 4A2  
Tel: 519.579.3660  
Fax: 519.743.2540  
www.millerthomson.com



DIRECT TEL: 519.579.3661 EXT 326  
DIRECT FAX: 519.576.2030  
E-MAIL dschnurr@millerthomson.ca

File No. 61780.0001

October 3, 2003

MS Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SENT BY COURIER**

Dear Sir:

**RE: New Application by Doyle, Thomas James**  
**Title: Risk Assessment System**

We are enclosing herewith the following documentation for process:

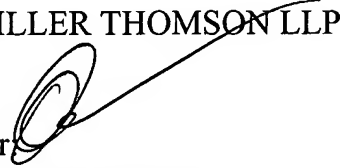
1. Utility Patent Application Transmittal;
2. Patent Application Fee Determination Record;
3. Declaration for Utility Patent Application;
4. Power of Attorney or Authorization of Agent;
5. Specification including abstract and claims;
6. 5 sheets of formal drawings on paper; and
7. Our firm cheque in the amount of U.S. \$1196.00 representing your filing fee herein as follows:

(a)	Basic Fee	\$385.00
(b)	Independent Claims -2	n/a
(c)	Claims - total of 94	666.00
(d)	Multiple Dependent Claims	145.00

We look forward to receiving your official filing receipt for the above application in due course.

Yours very truly,

MILLER THOMSON LLP



Per  
Daryl W. Schnurr

DWS/br  
encl.